



# TRANSMITTAL FORM

(To be used for all correspondence  
after initial filing)

Patent Number	7,018,395
Issue Date	March 28, 2006
Application Number	10/802,284
Filing Date	March 16, 2004
First Named Inventor	James Chen
Attorney Docket No.	600057.444C2

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement and Transmittal <input type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input checked="" type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC ( <i>Appeal Notice, Brief, Reply Brief</i> ) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Other Enclosure(s) ( <i>please identify below</i> ): _____ _____ _____ _____
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Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Seed Intellectual Property Law Group PLLC	Customer Number	00500
Signature			
Printed Name	Karl L. Klassen		
Date	August 16, 2007	Reg. No.	54,224

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Signature		
Typed or printed name	Colleen Menth	Date: August 16, 2007



PTO/SB/80 (01-06)

Approved for use through 12/31/2008. OMB 0651-0035

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<input type="checkbox"/> Firm or Individual Name			
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Assignee Name and Address: Light Sciences Oncology, Inc.  
34931 S.E. Douglas Street  
Suite 250  
Snoqualmie, WA 98065

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

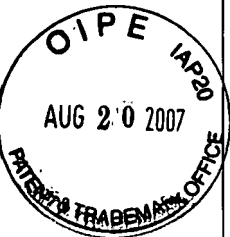
**SIGNATURE of Assignee of Record**

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature		Date	7/10/07
Name	Jay Winship, MD, FACP	Telephone	425-369-2762
Title	COO		

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: James Chen  
Application No./Patent No.: 7,018,395 Filed/Issue Date: March 28, 2006  
Entitled: PHOTODYNAMIC TREATMENT OF TARGETED CELLS

Light Sciences Oncology, Inc.

(Name of Assignee)

a

corporation

(Type of Assignee, e.g., corporation, partnership,  
university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_%

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above.  
The assignment was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a true copy of the original assignment is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the  
current assignee as follows:

1. From: James Chen

To: Light Sciences Corporation

The document was recorded in the United States Patent and Trademark Office at  
Reel 015236, Frame 0228, or for which a copy thereof is attached.

2. From: Light Sciences Corporation

To: Light Sciences Acquisition, LLC

The document was recorded in the United States Patent and Trademark Office at  
Reel 019617, Frame 0075, or for which a copy thereof is attached.

3. From: Light Sciences Acquisition, LLC

To: Light Sciences, LLC

The document was recorded in the United States Patent and Trademark Office at  
Reel 019617, Frame 0078, or for which a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the  
original owner to the assignee was, or concurrently is being, submitted for recordation  
pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment  
Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP  
302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Karl L. Klassen  
Signature

August 16, 2007  
Date

Karl L. Klassen  
Typed or printed name

Registered Practitioner of  
Seed IP Law Group PLLC  
Title

**CONTINUED STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: James Chen

Application No./Patent No.: 7,018,395 Filed/Issue Date: March 28, 2006

Entitled: PHOTODYNAMIC TREATMENT OF TARGETED CELLS

Light Sciences Oncology, Inc.

(Name of Assignee)

a

corporation

(Type of Assignee, e.g., corporation, partnership,  
university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_%

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above.  
The assignment was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a true copy of the original assignment is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the  
current assignee as follows:

4. From: Light Sciences, LLC

To: Light Sciences Oncology, Inc.

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5. From: \_\_\_\_\_

To: \_\_\_\_\_

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6. From: \_\_\_\_\_

To: \_\_\_\_\_

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Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the  
original owner to the assignee was, or concurrently is being, submitted for recordation  
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[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment  
Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP  
302.08]

